Collaborator Annual Confirmation Form

Every year, the Lead Collaborator at each Collaborator Institution for a UK Biobank Research Project is required to provide some information regarding their project and confirmation that they are complying with the terms of the Material Transfer Agreement (MTA). For help, please see our AMS User Guide on the UK Biobank website. Failure to provide this form on time (following reminders from UK Biobank before the deadline) will result in the Collaborator Institution being prevented from downloading further data and/or accessing existing data via the RAP. The ability to download further data and/or access existing data via the RAP will be restored when the report is submitted.

<table>
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<th>Research Project Number:</th>
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<td>Collaborator Institution:</td>
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<td>Date form completed:</td>
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1. Please provide the names of any Affiliates¹ who have access to UK Biobank data for this Research Project. If none, please say so:

   **Affiliates:**

2. Please provide the names of any Third Party Processors who process UK Biobank data as a sub-contractor for this Research Project and provide details of the tasks the Third Party Processor conducts on your behalf. If none, please say so:

   **Third Party Processors:**
   
   **Third Party Processor tasks:**

3. **I confirm that:**

   Please mark with an ‘X’:
   
   - I am the Lead Collaborator at the Collaborator Institution and of the Research Project identified above;  
   - The research conducted by the Collaborator Institution is within the parameters of the Research Project’s approved scope and the progress of the research is consistent with the Applicant PI’s project update; and  
   - The provisions of the relevant Material Transfer Agreement(s) (including any applicable amendments and updates) and the Annexes are being complied with.

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¹ An Affiliate means any company or other entity that is directly or indirectly Controlling, Controlled by or under common Control with a Collaborator (which includes if such Collaborator is a company, a subsidiary or parent or holding company of such Collaborator, or a subsidiary of such parent or holding company) for so long as such Control exists. Please see the definition of ‘Control’ in the MTA for further information.